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# MONSTROUS MOONSHINE AND THE UNIQUENESS OF THE MOONSHINE MODULE \*

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## ABSTRACT

In this talk we consider the relationship between the conjectured uniqueness of the Moonshine module  $\mathcal{V}^\natural$  of Frenkel, Lepowsky and Meurman and Monstrous Moonshine, the genus zero property for Thompson series discovered by Conway and Norton. We discuss some evidence to support the uniqueness of  $\mathcal{V}^\natural$  by considering possible alternative orbifold constructions of  $\mathcal{V}^\natural$  from a Leech lattice compactified string. Within these constructions we find a new relationship between the centralisers of the Monster group and the Conway group generalising an observation made by Conway and Norton. We also relate the uniqueness of  $\mathcal{V}^\natural$  to Monstrous Moonshine and argue that given this uniqueness, then the genus zero properties hold if and only if orbifolding  $\mathcal{V}^\natural$  with respect to a monster element reproduces  $\mathcal{V}^\natural$  or the Leech theory.

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**The Moonshine Module.** The Moonshine module [1] of Frenkel, Lepowsky and Meurman (FLM) is the first example of an orbifold CFT [2] and is constructed from a string compactified to  $R^{24}/\Lambda$  where  $\Lambda$  is the Leech lattice, the unique 24 dimensional even self-dual lattice without roots i.e.  $\lambda^2 \neq 2$  cf. [3]. The orbifolding is then based on the  $Z_2$  reflection automorphism of  $\Lambda$ .

Let  $\mathcal{V}^\Lambda$  denote the set of vertex operators  $\{\phi(z)\}$  for the Leech lattice CFT which forms a closed meromorphic operator product algebra (OPA) with central charge 24 [1,4]

$$\phi_i(z)\phi_j(w) \sim \sum_k C_{ijk}(z-w)^{h_k-h_i-h_j} \phi_k(w) + \dots \quad (1)$$

We will represent such an OPA schematically by  $\phi\phi \sim \phi$ . The 1-loop partition function  $Z(\tau) = \text{Tr}_{\mathcal{V}^\Lambda}(q^{L_0})$  is a modular invariant and meromorphic function of  $\tau$  with a unique simple pole at  $q = e^{2\pi i\tau} = 0$  and is given by the unique (up to an additive constant) modular invariant function  $J(\tau)$

$$\begin{aligned} Z(\tau) &= J(\tau) + 24 \\ J(\tau) &= \frac{E_2^3}{\eta^{24}} - 744 = \frac{1}{q} + 0 + 196884q + \dots \end{aligned} \quad (2)$$

The constant 24 reflects the existence of 24 massless (conformal weight 1) operators in this theory.  $\eta(\tau) = q^{1/24} \prod_n (1 - q^n)$  and  $E_2(\tau)$  is the Eisenstein modular form of weight 4 [5].

The FLM Moonshine module [1] is an orbifold CFT based on the  $Z_2$  lattice reflection automorphism  $\bar{r} : \lambda \rightarrow -\lambda$  for  $\lambda \in \Lambda$ .  $\bar{r}$  lifts to a family of  $Z_2$  automorphisms of  $\mathcal{V}^\Lambda$  preserving (1) from which family one automorphism  $r$  is chosen. Defining the projection  $\mathcal{P}_r = (1+r)/2$ , the set of operators  $\mathcal{P}_r \mathcal{V}^\Lambda$  then also form a closed meromorphic OPA. However, the corresponding partition function  $\text{Tr}_{\mathcal{P}_r \mathcal{V}^\Lambda}(q^{L_0}) = \frac{1}{2} \left( \begin{smallmatrix} 1 & \square \\ & 1 \end{smallmatrix} + \begin{smallmatrix} r & \square \\ & 1 \end{smallmatrix} \right)$  is not modular invariant, employing standard notation for the world-sheet torus boundary conditions e.g. [6]. Thus, under a modular transformation  $\tau \rightarrow -1/\tau$ ,  $\begin{smallmatrix} r & \square \\ & 1 \end{smallmatrix} = 1/\eta_{\bar{r}}(\tau) \rightarrow \begin{smallmatrix} 1 & \square \\ & r \end{smallmatrix} = 2^{12} \eta_{\bar{r}}(\tau/2) = 2^{12} q^{1/2} + \dots$  where  $\eta_{\bar{r}}(\tau) = [\eta(2\tau)/\eta(\tau)]^{24}$ . Therefore the introduction of a ‘twisted’ sector with vacuum energy 1/2 and degeneracy  $2^{12}$  is necessary to form a modular invariant theory [1,2]. The states of this sector are constructed from twisted vertex operators  $\mathcal{V}_r = \{\psi(z)\}$  acting on the untwisted vacuum. Thus  $\mathcal{V}^\Lambda$  is enlarged by  $\mathcal{V}_r$  to  $\mathcal{V}' = \mathcal{V}^\Lambda \oplus \mathcal{V}_r$  which forms a closed non-meromorphic OPA [1,7,8,9] where (schematically)

$$\phi\phi \sim \phi, \quad \phi\psi \sim \psi, \quad \psi\psi \sim \phi \quad (3)$$

$\bar{r}$  can also be lifted to an automorphism  $r$  of (3) where the operators of  $\mathcal{P}_r \mathcal{V}_r$  have integral conformal weight. Then  $\mathcal{V}^\natural = \mathcal{P}_r \mathcal{V}'$  forms a closed meromorphic OPA, the FLM Moonshine module [1]. The  $r$  projection ensures the absence of untwisted massless operators whereas the twisted sector operators are all massive since the twisted vacuum energy is  $1/2$ . Thus the orbifold partition function is

$$\text{Tr}_{\mathcal{V}^\natural}(q^{L_0}) = \mathcal{P}_r \boxed{1} + \mathcal{P}_r \boxed{r} = J(\tau) \quad (4)$$

The absence of massless operators in  $\mathcal{V}^\natural$  sets the Moonshine module apart from other CFTs. Usually such operators are present and form a closed Kac-Moody algebra. However, the 196884 conformal weight 2 operators in  $\mathcal{V}^\natural$ , including the energy-momentum tensor  $T(z)$  can be used to define a closed non-associative commutative algebra. FLM demonstrated [1] that this algebra is an affine version of the 196883 dimensional Griess algebra [10] together with  $T(z)$ . The automorphism group of the Griess algebra is the Monster  $M$ . FLM showed that  $M$  is the automorphism group for the OPA of  $\mathcal{V}^\natural$  where the operators of  $\mathcal{V}^\natural$  of a given conformal weight form a (reducible) representation of  $M$ . This demonstrates an observation of McKay and Thompson [11] that the coefficients of  $J(\tau)$  are positive sums of dimensions of irreducible representations of  $M$  e.g. the coefficient of  $q$  is  $196884 = 1 + 196883$ , the sum of the trivial and adjoint representation.

We may identify an involution  $i \in M$ , defined like a ‘fermion number’, under which all untwisted (twisted) operators have eigenvalue  $+1(-1)$  where  $i$  also respects (3). The centraliser of  $i$  can be found [1] to give  $C(i|M) = \{g \in M | ig = gi\} = 2_+^{1+24} \cdot \text{Co}_1$  where  $\text{Co}_1$  is the Conway simple group (the automorphism group  $\text{Co}_0$  of  $\Lambda$  modulo the reflection automorphism  $\bar{r}$ ),  $2_+^{1+24}$  is an extra-special group and  $A.B$  denotes a group with normal subgroup  $A$  with  $B = A.B/A$ . This result is an essential part of the FLM construction since  $M$  is generated by  $2_+^{1+24} \cdot \text{Co}_1$  and a second involution  $\sigma$  [10]. FLM constructed  $\sigma$ , which mixes the untwisted and twisted sectors, from a hidden triality symmetry [1,12] and hence showed that the automorphism group of  $\mathcal{V}^\natural$  is  $M$ .

The automorphisms  $i$  and  $r$  can be said to be ‘dual’ to each other in the sense that they are both automorphisms of  $\mathcal{V}'$  and that the subsets invariant under  $i$  and  $r$ ,  $\mathcal{V}^\Lambda$  and  $\mathcal{V}^\natural$  respectively, form meromorphic OPAs. In addition, we may ‘reorbifold’  $\mathcal{V}^\natural$  with respect to  $i$  to reproduce  $\mathcal{V}^\Lambda$ . Thus

$$\begin{array}{ccc} & \mathcal{V}' & \\ \mathcal{P}_i \swarrow & & \searrow \mathcal{P}_r \\ \mathcal{V}^\Lambda & \xrightleftharpoons[i]{r} & \mathcal{V}^\natural \end{array} \quad (5)$$

where the horizontal arrows denote an orbifolding and the diagonal arrows a projection [13].

**Monstrous Moonshine.** The operators of  $\mathcal{V}^1$  of a given conformal weight form reducible representations of  $M$ . The Thompson series  $T_g(\tau)$  for  $g \in M$  is defined by the trace

$$T_g(\tau) = \text{Tr}_{\mathcal{V}^1}(gq^{L_0}) = \frac{1}{q} + 0 + [1 + \chi(g)]q + \dots \quad (6)$$

which depends only on the conjugacy class of  $g$  where  $\chi(g)$  is the character in the 196883 dimensional irreducible representation. Thus for  $i$  defined above, it is easy to show  $T_i(\tau) = [\eta\bar{\tau}(\tau)]^{-1} + 24$ .

The Thompson series for the identity element is  $J(\tau)$  which is unique (up to a constant) for the following reasons. Let  $\mathcal{F} = H/\Gamma$  be the fundamental region where  $\Gamma = \text{SL}(2, Z)$  is the full modular group and  $H$  is the upper half complex plane. Adding the point at infinity, the compactification  $\bar{\mathcal{F}}$  is isomorphic to the Riemann sphere of genus zero where the function  $J(\tau)$  realises this isomorphism. Such a function is called a *hauptmodul* for the genus zero modular group  $\Gamma$ . A modular invariant meromorphic function is a *hauptmodul* if and only if it possesses a unique simple pole. Once the location of this pole is specified, this function is itself unique up to a constant cf. [5,14].

Based on ‘experimental’ evidence, Conway and Norton [15] conjectured that each  $T_g(\tau)$  is a *hauptmodul* for a genus zero modular group  $\Gamma_g$ . This has recently been rigorously demonstrated by Borchers although the origin of the genus zero property remains obscure [16]. In general, for  $g$  of order  $n$ ,  $T_g(\tau)$  is found to be invariant up to phases of order (at most)  $h$  under  $\Gamma_0(n) = \left\{ \begin{pmatrix} a & b \\ nc & d \end{pmatrix} \mid \det = 1 \right\}$  where  $h|n$  and  $h|24$ .  $T_g(\tau)$  is fixed by  $\Gamma_g$  with  $\Gamma_0(N) \subseteq \Gamma_g \subseteq \mathcal{N}(N) = \{\rho \in \text{SL}(2, R) \mid \rho\Gamma_0(N) = \Gamma_0(N)\rho\}$ , the normaliser of  $\Gamma_0(N)$  in  $\text{SL}(2, R)$  where  $N = nh$ . Furthermore,  $\Gamma_g$  is a genus zero modular group and  $T_g(\tau)$  is the corresponding *hauptmodul* with a simple pole at  $q = 0$ . Consider the elements of prime order  $n = p$ . Apart from one class of order 3 with  $h = 3$ , we have  $h = 1$  in each case. Thus either  $\Gamma_g = \Gamma_0(p)$  or  $\Gamma_0(p)+$ , generated by  $\Gamma_0(p)$  and the Fricke involution  $W_p : \tau \rightarrow -1/p\tau$  with  $W_p^2 = 1$ , the only non-trivial element of  $\mathcal{N}(p)$ .  $\Gamma_0(p)$  is of genus zero when  $(p-1)|24$  ( $p = 2, 3, 5, 7, 13$ ) where the *hauptmodul* is  $[\eta(\tau)/\eta(p\tau)]^{2d} + 2d$  with  $2d = 24/(p-1)$ . There is a class of  $M$  denoted by  $p-$  for each such prime with this Thompson series e.g. the involution  $i$  belongs to the class  $2-$ .  $\Gamma_0(p)+$  is of genus zero for  $2 \leq p \leq 31$  or  $p = 41, 47, 59, 71$ , which constitute all the prime divisors of the order of  $M$

[17]. Similarly, there is a class of  $M$ , denoted by  $p+$ , for each such prime with Thompson series fixed by  $\Gamma_0(p)+$ .

It is natural to interpret the Thompson series  $T_g(\tau)$  as a contribution to the partition function for a further orbifolding of  $\mathcal{V}^{\natural}$  with respect to  $g$  [18,14]. In particular, we expect that under  $\tau \rightarrow -1/\tau$ ,  $T_g(\tau)$  transforms to the partition function for a  $g$  twisted sector  $\mathcal{V}_g$  as follows:

$$T_g(\tau) = g \square_1^{\natural} \rightarrow 1 \square_g^{\natural} = N_g q^{E_g^0} + \dots \quad (7)$$

where  $\natural$  denotes a trace contribution to the orbifolding of  $\mathcal{V}^{\natural}$  and  $\mathcal{V}_g$  has vacuum energy  $E_g^0$  and degeneracy  $N_g$ . For many classes of  $M$ , the method of construction of  $\mathcal{V}_g$  is not known. However, for certain elements discussed below and some others, a construction can be given [14,13].

Consider now this orbifold picture of  $T_g(\tau)$  for the prime classes  $p+$  and  $p-$ , although the analysis given can be generalised to all classes [14,19,13]. Under a modular transformation  $\gamma : \tau \rightarrow \frac{a\tau+b}{c\tau+d}$  we find  $g \square_1^{\natural} \rightarrow g^{-d} \square_{g^c}^{\natural}$  assuming that no extra global phase occurs [20] (such a phase corresponds to  $h \neq 1$  in the original Moonshine conjectures [14,13]). For  $\gamma \in \Gamma_0(p)$  with  $c = 0 \pmod p$  we find  $\gamma : T_g(\tau) \rightarrow T_{g^{-d}}(\tau) = T_g(\tau)$  since  $d$  and  $p$  are relatively prime and  $T_g(\tau)$  is  $\Gamma_0(p)$  invariant.

The genus zero property can be also understood as follows.  $T_g(\tau)$  always has a simple pole at  $q = 0$  ( $\tau = \infty$ ). The only other possible pole for  $T_g(\tau)$  is at  $\tau = 0$  since the fundamental region  $\mathcal{F}_p = H/\Gamma_0(p)$  for  $\Gamma_0(p)$  has only these two cusp points [21]. From (7),  $T_g(\tau)$  has a pole at  $\tau = 0$  if and only if  $E_g^0 < 0$ . Thus  $T_g(\tau)$  is a hauptmodul for  $\Gamma_0(p)$  if and only if  $E_g^0 \geq 0$ . Also from (7),  $T_g(W_p(\tau)) = 1 \square_g^{\natural}(p\tau)$ , so that  $T_g(\tau)$  is a hauptmodul for  $\Gamma_0(p)+$  if and only if  $E_g^0 = -1/p$  and  $N_g = 1$ .

For classes of type  $p+$ ,  $T_g(\tau) = 1 \square_g^{\natural}(p\tau)$  is a series in  $q$  with non-negative coefficients since the RHS of (7) is the  $\mathcal{V}_g$  partition function. For classes of type  $p-$ ,  $T_g(\tau)$  has coefficients of mixed sign. In general, all classes of  $M$  can be divided into two such types i.e. classes with Thompson series invariant (or not invariant) under a Fricke involution  $W_N : \tau \rightarrow -1/N\tau$  which are called Fricke (or non-Fricke) classes. There are a total of

121 Fricke classes all of which have non-negative coefficient Thompson series and 51 non-Fricke classes with mixed sign coefficients for similar reasons to the prime ordered classes described. This division of the classes of  $M$  will be important below.

**The FLM Uniqueness Conjecture.** FLM have conjectured that  $\mathcal{V}^\natural$  is characterised (up to isomorphism) as follows [1]:  *$\mathcal{V}^\natural$  is the unique meromorphic conformal field theory with modular invariant partition function  $J(\tau)$  and central charge 24.* This is analogous to the uniqueness property of the Leech lattice as being the only even self-dual lattice in 24 dimensions without roots.

Let us now consider orbifold models based on other automorphisms  $a$  of the untwisted Leech lattice theory  $\mathcal{V}^\Lambda$  lifted from automorphisms  $\bar{a} \in \text{Co}_0$  [19,22].  $\bar{a}$  will be chosen so that each model contains no massless operators, has a meromorphic OPA and is modular invariant with partition function  $J(\tau)$  and hence, should reproduce  $\mathcal{V}^\natural$ . Each  $\bar{a} \in \text{Co}_0$  can be parameterised as follows

$$\det(x - \bar{a}) = \prod_{k|n} (x^k - 1)^{a_k} \quad (8a)$$

$$\sum_{k|n} a_k = 0 \quad (8b)$$

with  $\sum_{k|n} k a_k = 24$  where  $k|n$  denotes that  $k$  divides  $n$ , the order of  $\bar{a}$  and  $\{a_k\}$  are integers. (8b) is imposed to ensure the absence of fixed points for  $\bar{a}$  so that no massless operators in  $\mathcal{V}^\Lambda$  survive the  $\mathcal{P}_a$  projection. For  $n = p$  prime, we have  $a_p = -a_1 = 2d$  where  $(p-1)2d = 24$ .

Since  $a$  is an OPA automorphism for  $\mathcal{V}^\Lambda$ , the  $a$  invariant subspace  $\mathcal{P}_a \mathcal{V}^\Lambda$  also forms a closed meromorphic OPA. The partition function  $\text{Tr}_{\mathcal{P}_a \mathcal{V}^\Lambda}(q^{L_0})$  is not modular invariant, as before, necessitating the introduction of sectors  $\mathcal{V}_a$  twisted by  $a$ . Thus under  $\tau \rightarrow -1/\tau$

$$a \begin{array}{|c|} \hline \square \\ \hline 1 \\ \hline \end{array} = \frac{1}{\eta_{\bar{a}}(\tau)} \rightarrow 1 \begin{array}{|c|} \hline \square \\ \hline a \\ \hline \end{array} = D_a^{1/2} \prod_{k|n} \eta(\tau/k)^{-a_k} = D_a^{1/2} q^{E_0^a} (1 + O(q^{1/n})) \quad (9)$$

with  $\eta_{\bar{a}}(\tau) = \prod_k \eta(k\tau)^{a_k}$  and  $D_a = \det(1 - \bar{a})$  where  $D_a^{1/2}$  and  $E_0^a = -\frac{1}{24} \sum_k \frac{a_k}{k}$  are the degeneracy and energy of the  $a$  twisted vacuum. Under  $\tau \rightarrow \tau + n$ , the  $a$  twisted partition function is invariant up to a phase  $\exp(2\pi i n E_0^a)$ . For modular consistency of the orbifold partition function we must have  $n E_0^a = 0 \pmod{1}$  i.e. there is no global phase anomaly [20].

In addition, if  $E_0^a > 0$ , then the  $a$  twisted sector does not reintroduce massless states. We therefore restrict ourselves to  $\bar{a} \in \text{Co}_0$  obeying [19]

$$\sum_{k|n} a_k = 0 \quad (10a)$$

$$E_0^a > 0 \quad (10b)$$

$$nE_0^a = 0 \bmod 1 \quad (10c)$$

There are a total of 38 classes of  $\text{Co}_0$  [23] that obey these constraints [19]. If we relax condition (10c) then a further 13 classes of  $\text{Co}_0$  obey only (10a-b) [24,13]. Each of these 13 classes is characterised by some  $h \neq 1$  where  $h|24$  with  $h|k$  for all  $a_k \neq 0$ . In all 51 cases the parameters  $\{a_k\}$  obey  $a_k = -a_{nh/k}$  and so  $E_0^a = 1/nh$  which violates (10c) for  $h \neq 1$ .  ${}^a \square_1$  is invariant up to phases of order  $h$  under  $\Gamma_0(n)$  and is a hauptmodul for  $\Gamma_a$  with  $\Gamma_0(N) \subseteq \Gamma_a \subset \mathcal{N}(N)$ ,  $N = nh$ , where  $\Gamma_a$  is one of the genus zero modular groups considered by Conway and Norton. Furthermore, since  $E_0^a > 0$ ,  ${}^a \square_1$  cannot be Fricke invariant and hence these 51 hauptmoduls are the 51 non-Fricke Monster group hauptmoduls. Thus there is a correspondence between 51 classes  $\{\bar{a}\}$  of  $\text{Co}_0$  and the 51 non-Fricke classes of  $M$ . We will explicitly identify an element  $g_n \in M$  of each such class below.

$\mathcal{V}_a$  with the partition function  ${}^1 \square_a$  of (9) has a standard construction [25]. Likewise,  $\mathcal{V}_{a^k}$  twisted sectors must be introduced for modular invariance and OPA closure. Then the following intertwining non-meromorphic OPA should hold (schematically)

$$\psi_{aj} \psi_{a^k} \sim \psi_{a^j+k} \quad (11)$$

with  $\psi_{a^k}(z) \in \mathcal{V}_{a^k}$ . Apart from the original  $Z_2$  case, this OPA has only been rigorously constructed in the prime ordered cases [22]. We will assume that it is true in general. We therefore enlarge  $\mathcal{V}^\Lambda$  by the introduction of  $\mathcal{V}_{a^k}$  to  $\mathcal{V}' = \mathcal{V}^\Lambda \oplus \mathcal{V}_a \oplus \dots \oplus \mathcal{V}_{a^{n-1}}$  which forms a closed non-meromorphic OPA. The projection  $\mathcal{V}_{\text{orb}}^a = \mathcal{P}_a \mathcal{V}'$  then forms a meromorphic OPA. (10c) is sufficient to guarantee the modular invariance of the corresponding partition function. (10b) can be also shown to be sufficient to ensure no massless operators appear in  $\mathcal{P}_a \mathcal{V}_{a^k}$  [19,13]. Thus, for the 38 automorphisms obeying (10a-c), the partition function is modular invariant and is given by  $Z_{\text{orb}}(\tau) = J(\tau)$ . Therefore  $\mathcal{V}_{\text{orb}}^a \equiv \mathcal{V}^1$  according to the FLM uniqueness conjecture. Let us now consider some evidence to support this.



Let  $M_{\text{orb}}^a$  be the automorphism group of the OPA for  $\mathcal{V}_{\text{orb}}^a$  where we expect  $M = M_{\text{orb}}^a$ . We define  $i_a \in M_{\text{orb}}^a$  of order  $n$  (which generalises the involution  $i$  in the original FLM construction) under which all the operators of  $\mathcal{V}_{a^*}$  are eigenstates with eigenvalue  $e^{2\pi i k/n}$ .  $i_a$  is also an automorphism of  $\mathcal{V}'$  and is ‘dual’ to the automorphism  $a$  where  $\mathcal{P}_a \mathcal{V}' = \mathcal{V}_{\text{orb}}^a$  and  $\mathcal{P}_{i_a} \mathcal{V}' = \mathcal{V}^\Lambda$ . Furthermore, we may reorbifold  $\mathcal{V}_{\text{orb}}^a$  with respect to  $i_a$  to reproduce  $\mathcal{V}^\Lambda$  as before [13]

$$\begin{array}{ccc} & \mathcal{V}' & \\ \mathcal{P}_{i_a} \swarrow & & \searrow \mathcal{P}_a \\ \mathcal{V}^\Lambda & \xrightleftharpoons[i_a]{a} & \mathcal{V}_{\text{orb}}^a \end{array} \quad (12)$$

Thus if  $\mathcal{V}_{\text{orb}}^a \equiv \mathcal{V}^\Lambda$ , we can explicitly construct the twisted sectors  $\mathcal{V}_{i_a^k}$  assumed earlier for  $i_a \in M$ . We may also compute the Thompson series for  $i_a \in M_{\text{orb}}^a$  by taking the trace over  $\mathcal{V}_{\text{orb}}^a$  to obtain

$$T_{i_a}^{\text{orb}}(\tau) = \text{Tr}_{\mathcal{V}_{\text{orb}}^a}(i_a q^{L_0}) = \frac{1}{\eta_{\bar{a}}(\tau)} - a_1 \quad (13)$$

which is the hauptmodul for the genus zero modular group  $\Gamma_a$  introduced earlier [19]. Thus each  $i_a \in M_{\text{orb}}^a$  dual to  $a$  has the same Thompson series as a corresponding non-Fricke element of  $M$  e.g. for  $\bar{a}$  of prime order  $p$ ,  $T_{i_a}^{\text{orb}}(\tau) = [\eta(\tau)/\eta(p\tau)]^{2d} + 2d = T_{p-}(\tau)$ . Note also, from (7), that  $\mathcal{V}_{i_a}$  has vacuum energy  $E_0^{i_a} = 0$  and degeneracy  $-a_1 > 0$ . (13) may be generalised to the other 13 classes violating (10c) where  $\bar{a}^h$ , of order  $n' = n/h$ , can be employed to construct an orbifold with partition function  $J(\tau)$ . Let  $g_n$  denote the lifting of  $\bar{a}$  where  $g_n^h = i_{a^h}$  is dual to  $a^h$ , a lifting of  $\bar{a}^h$  (for  $h = 1$ ,  $g_n = i_a$ ). We may then compute the Thompson series for  $g_n$  as a trace over  $\mathcal{V}_{\text{orb}}^{a^h}$  to show that (13) again holds so that  $g_n$  has the same Thompson series as a non-Fricke element of  $M$  [13].

We may also compute the centraliser  $C(g_n | M_{\text{orb}}^{a^h}) = \{g \in M_{\text{orb}}^{a^h} | g_n^{-1} g g_n = g\}$ . For the 38 classes with  $h = 1$  this consists of automorphisms that do not mix the sectors  $\mathcal{P}_a \mathcal{V}_{a^*}$ . For the other 13 automorphisms  $g_n$ ,  $C(g_n | M_{\text{orb}}^{a^h}) \subset C(i_{a^h} | M_{\text{orb}}^{a^h})$ . In general,  $c \in C(i_a | M_{\text{orb}}^a)$  must commute with  $a$  and therefore  $c$  is lifted from the automorphism  $\bar{c} \in G_n = C(\bar{a} | \text{Co}_0) / \langle \bar{a} \rangle$ . One can then show that [24,13]

$$C(g_n | M_{\text{orb}}^{a^h}) = \hat{L}_{\bar{a}} \cdot G_n \quad (14)$$

where  $\hat{L}_{\bar{a}} = n \cdot L_{\bar{a}}$ , an extension of  $L_{\bar{a}} = \Lambda / (1 - \bar{a})\Lambda$  by a cyclic group of order  $n$ .  $\hat{L}_{\bar{a}}$  arises from the vacuum structure of  $\mathcal{V}_a$  where  $D_a = |L_{\bar{a}}|$ . With  $M_{\text{orb}}^a = M$ , (14) generalises a well-known observation of Conway and Norton concerning the 5 prime classes where

$C(p - |M|) = p_+^{1+2d} G_p$  and  $i_a = p -$  [15]. For the other 46 classes, there are 11 cases for which (14) can be checked using the available information about these centralisers [15,26]. In general, the order of these groups agrees with (14) in each case supporting the very likely validity of the result.

Both (13) and (14) support the conjecture that  $\mathcal{V}_{\text{orb}}^a \equiv \mathcal{V}^{\natural}$ . This can only be proved by finding a generalised version of  $\sigma$  in the FLM construction which mixes the untwisted and twisted sectors [1,12] i.e. there should exist some permutation group  $\Sigma_n$  which mixes the sectors of  $\mathcal{V}_{\text{orb}}^a$  where  $C(g_n|M)$  and  $\Sigma_n$  generate  $M$ . In the prime cases  $p \neq 2$ ,  $\Sigma_p$  has been recently constructed and it has been rigorously shown that  $M_{\text{orb}}^a = M$  for  $p = 3$  and almost so for  $p = 5, 7, 13$  [22].

**Monstrous Moonshine from the Uniqueness of  $\mathcal{V}^{\natural}$ .** Let us now assume that the FLM Uniqueness conjecture is correct. We can then argue that *Thompson series are hauptmoduls if and only if orbifolding  $\mathcal{V}^{\natural}$  with respect to elements of  $M$  reproduces  $\mathcal{V}^{\natural}$  or  $\mathcal{V}^{\Lambda}$* . Thus Monstrous Moonshine is intimately linked to the uniqueness of  $\mathcal{V}^{\natural}$ .

From (12), orbifolding  $\mathcal{V}^{\natural}$  with respect to the 38 non-Fricke elements  $i_a$  dual to  $a$  reproduces  $\mathcal{V}^{\Lambda}$ . We may similarly consider the orbifolding of  $\mathcal{V}^{\natural}$  with respect to the Fricke elements  $\{f\}$  with  $h = 1$  which lead to a modular invariant theory  $\mathcal{V}_{\text{orb}}^f$  [14,13], given that the operators  $\mathcal{V}_{f^k}$  can be constructed. Assuming that the Thompson series are hauptmoduls we find that  $\mathcal{V}_{\text{orb}}^f \equiv \mathcal{V}^{\natural}$  i.e. orbifolding  $\mathcal{V}^{\natural}$  with respect to a Fricke automorphism reproduces  $\mathcal{V}^{\natural}$  again. Thus we have [13]

$$\mathcal{V}^{\Lambda} \xrightleftharpoons[i_a]{a} \mathcal{V}^{\natural} \xleftarrow{f} \mathcal{V}^{\natural} \quad (15)$$

For example, consider  $f$  an element of a prime class  $p+$ . Fricke invariance implies  $1 \square_{f^k}^2 = T_f(\tau/p) = q^{-1/p} + 0 + \mathcal{O}(q^{1/p})$  so that there is a ‘gap’ in the spectrum of  $\mathcal{V}_{f^k}$  and no massless operators are reintroduced in orbifolding  $\mathcal{V}^{\natural}$ . Thus the modular invariant partition function for  $\mathcal{V}_{\text{orb}}^f$  is  $J(\tau)$  and hence  $\mathcal{V}_{\text{orb}}^f \equiv \mathcal{V}^{\natural}$ . A similar argument can be made in the general case [13].

The converse to the above also holds i.e. assuming that (15) is true for all automorphisms of  $M$  that define a modular consistent theory, then the Thompson series are hauptmoduls. To see this, firstly consider an orbifolding with respect to  $i_a \in M$  which reproduces  $\mathcal{V}^{\Lambda}$ .  $i_a$  must be dual to one of the 38 automorphisms obeying (10a-c) and has non-Fricke invariant Thompson series (13) which is the hauptmodul for a genus zero group.

Similarly, as discussed above, the other non-Fricke automorphisms can also be found with a corresponding genus zero Thompson series. For the remaining Fricke classes of  $M$  we provide an argument for  $f$  an element of prime order. We wish to show that  $\mathcal{V}_f$  has the correct vacuum structure so that  $T_f(\tau)$  is a hauptmodul for  $\Gamma_0(p)+$ . In the orbifolding of  $\mathcal{V}^\natural$  with respect to  $f$  which reproduces  $\mathcal{V}^\natural$ , let  $i_f \in M$  be dual to  $f$  with eigenvectors  $\mathcal{V}_{f^k}$  for eigenvalue  $e^{2\pi i k/n}$ . Then it can be shown that  $T_{i_f}(\tau) = T_f(\tau)$  so that  $i_f$  is in the same class as  $f$ . Furthermore, the centralisers obey  $C(f|M) \subseteq C(i_f|M)$  with the necessary equality only when the  $\mathcal{V}_f$  vacuum is unique i.e.  $N_f = 1$ . Since the twisted sector  $\mathcal{V}_f$  does not reintroduce massless operators, the vacuum energy obeys either (a)  $E_f^0 = -1/p$  or (b)  $E_f^0 > 0$ . (a) is possible because the absence of massless operators in  $\mathcal{V}^\natural$  allows for a similar 'gap' in the spectrum of  $\mathcal{V}_g$ . If (b) holds, then  $T_f(\tau)$  has a unique simple pole at  $q = 0$  and must be a hauptmodul for  $\Gamma_0(p)$  with  $(p-1)|24$  and  $T_f(\tau) = [\eta(\tau)/\eta(p\tau)]^{2d} + 2d$ . However, this is impossible since then  $E_f^0 = 0$  with  $N_f = 2d$  from (7). Thus (15) implies that  $\mathcal{V}_f$  has vacuum structure  $E_f^0 = -1/f$  with  $N_f = 1$  and hence, as described before,  $T_f(\tau)$  is a hauptmodul for the genus zero group  $\Gamma_0(p)+$  and  $f$  is of class  $p+$ . A similar argument can be given for the other Fricke classes [13].

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